

# Localization Sequences in $THH$ and $TC$

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Algebraic Topology Conference in Buenos Aires  
November 12, 2008



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- Joint work with Andrew Blumberg



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Goal: Prove the analogue of the Thomason-Trobaugh  $K$ -theory Mayer-Vietoris and localization theorems in  $THH$  and  $TC$



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Recurring theme: connective vs. non-connective ring spectra



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# Motivation

## Hesselholt and Madsen: Conjectured “Additive Motivic Spectral Sequence”

- Abut to variant of  $TR$
- Edge homomorphism from De Rham–Witt complex
- (“Homotopy invariant”  $TR$  is contractible)





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Hesselholt and Madsen: Conjectured “Additive Motivic Spectral Sequence”

- ~~About~~ <sup>convergence</sup> to variant of  $TR$
- Edge homomorphism from De Rham–Witt complex
- (“Homotopy invariant”  $TR$  is contractible)

Theory of  
Lurie  
on motivic  
spectral  
seqs



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Why did this paper get bumped up ahead of the others in the long list of papers still to write?

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Cortiñas, Haesemeyer, Schlichting, and Weibel:

*K*-theory and singularities. Proved:

- Weibel conjecture:  $K_{-n}X = 0$  for  $n > \dim(X)$
- Vorst conjecture:  $K_{\dim(R)}X = 0$  for  $n > \dim(X)$

Over fields of characteristic zero using Mayer Vietoris and localization in negative cyclic homology.

*TC* results to extend to fields of characteristic  $p$ . (?)



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negative  
cyclic  
Chern character  
trace  $K \rightarrow HH$



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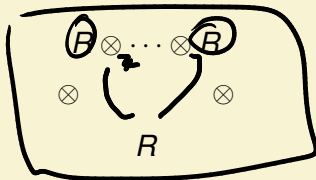
Rumor: Geisser and Hesselholt proved Weibel conjecture in characteristic  $p$ . (?)



# top Hochschild Homology

Cyclic bar construction

$$N_q^{cy} R = \underbrace{B \otimes \dots \otimes B}_{q \text{ factors}}$$



cyclic  
simplicial  
object

(R DGA  
simplicial  
chain cx)

HH<sub>\*</sub>R is the homology of the resulting chain complex

HH(R)

resulting chain complex  
"shukla" corresponding spectrum





# Topological Hochschild Homology

Cyclic bar construction

$$N_q^{cy} HR = \underbrace{HR \wedge \cdots \wedge HR}_{q \text{ factors}} \wedge HR$$

$$HR \otimes \cdots \otimes HR$$

 $\wedge$ 
 $\wedge$ 

$$HR$$

*THH*(*R*) is the resulting spectrum



# Morita Invariance

Both  $HH$  and  $THH$  have Morita invariance:

$$HH(R) \simeq HH(M_n R)$$

$$THH(R) \simeq THH(M_n R)$$

$\Rightarrow$  Dennis and cyclotomic trace maps



## Dennis Trace / Cyclotomic Trace

Map  $BGL_n R \rightarrow B^{cy} GL_n R$ :

$$B_q(GL_n R) = \underbrace{GL_n R \times \cdots \times GL_n R}_{q \text{ factors}}$$

Bar const.  
→

$$B_q^{cy}(GL_n R) = \underbrace{GL_n R \times \cdots \times GL_n R}_{q \text{ factors}} \times GL_n R$$

by  $(g_1 | \cdots | g_q) \mapsto (g_1 | \cdots | g_q | g_q^{-1} \cdots g_1^{-1})$

$g_0 < g_1 \cdots < g_2$

Map  $B^{cy} GL_n R \rightarrow N^{cy}(M_n R)$  or to  $N_q^{cy}(HM_n R)$ .

$$N_q^{cy}(M_n R) = \underbrace{M_n R \otimes \cdots \otimes M_n R}_{q \text{ factors}} \otimes M_n R$$

Fit together to a map  $KR = (\coprod BGL_n R)^+ \rightarrow THH(R) \rightarrow HH(R)$ .



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Fit together to a map  $\underline{KB} = (\coprod BGL_n R)^+ \rightarrow \underline{THH}(R) \rightarrow \underline{HH}(R)$ .



# Why $THH$ ?

- Relatively easy to compute
- Stabilization of  $K$ -theory [Dundas-McCarthy]

But...



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# Why $THH$ ?

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(in sense of Goodwillie calculus)

But...

$THH$  in place  
of  $K$

↔  
stable  $L$ -theory in  
place of  
 $L$ -theory





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Not really that close to  $K$ -theory

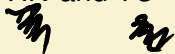


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$\Rightarrow$   $HN$  and  $TC$   




# Negative Cyclic and $TC$

- Built from  $HH$  and  $THH$
- Still reasonably computable
- Goodwillie: Relative  $HN$  is rationally equivalent to relative  $K$ -theory (for surjective maps with nilpotent kernel).
- McCarthy: Relative  $TC$  is  $p$ -equivalent to relative  $K$ -theory (for surjective maps with nilpotent kernel).



# Negative Cyclic and $TC$

- Built from  $\mathbb{D}HH$  and  $\mathbb{T}HH$  not in same way
- Still reasonably computable
- Goodwillie: Relative  $HN$  is rationally equivalent to relative  $K$ -theory (for surjective maps with nilpotent kernel).
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Homotopy cartesian square  $R \rightarrow R'$   
 $\text{sur, nilp kernel}$

$$\begin{array}{ccc}
 K(R)_{\mathbb{Q}} & \longrightarrow & K(R')_{\mathbb{Q}} \\
 \downarrow & \lrcorner & \downarrow \\
 HN(\underline{R \otimes \mathbb{Q}}) & \longrightarrow & HN(\underline{R' \otimes \mathbb{Q}})
 \end{array}$$



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 \qquad
 \begin{array}{ccc}
 K(R)_{\wedge_p} & \longrightarrow & K(R')_{\wedge_p} \\
 \downarrow & & \downarrow \\
 \underline{TC(R, p)} & \longrightarrow & \underline{TC(R', p)}
 \end{array}$$



# Negative Cyclic and *TC*

- Built from *HH* and *THH*
- Still reasonably computable
- Goodwillie: Relative *HN* is rationally equivalent to relative *K*-theory (for surjective maps with nilpotent kernel).
- McCarthy: Relative *TC* is *p*-equivalent to relative *K*-theory (for surjective maps with nilpotent kernel).
- Dundas: Generalized *p*-equivalence to maps of ring spectra.

Homotopy cartesian square

$$\begin{array}{ccc}
 K(R)_{\mathbb{Q}} & \longrightarrow & K(R')_{\mathbb{Q}} \\
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 HN(R \otimes \mathbb{Q}) & \longrightarrow & HN(R' \otimes \mathbb{Q})
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 \begin{array}{ccc}
 K(R)_p^{\wedge} & \longrightarrow & K(R')_p^{\wedge} \\
 \downarrow & & \downarrow \\
 TC(R, p) & \longrightarrow & TC(R', p)
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# Quillen's $K$ -Theory of Schemes

*Quillen's*

$K(X)$  =  $K$ -theory of exact category of vector bundles on  $X$ .

Algebraic-geometric Remarks:

- Definitively correct for quasi-projective varieties.
- “Obviously” not ~~necessarily~~ <sup>have</sup> correct for varieties that do not enough vector bundles.
- Formulation of perfect complex: A complex of  $\mathcal{O}_X$ -modules that is locally quasi-isomorphic to bounded complex of vector bundles.
- Bounded derived category = full subcategory of derived category of  $\mathcal{O}_X$ -modules consisting of the perfect complexes.
- Bounded derived category is also the full subcategory of compact objects. [An alternative characterization of perfect complexes.]



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$$\mathcal{D}(X, \bigoplus_{i \in \mathbb{Z}} Y_i) \cong \bigoplus_{i \in \mathbb{Z}} \mathcal{D}(X, Y_i)$$



# Thomason's $K$ -Theory of Schemes

*B. Totaraugh*

*Groth Fegat III*

## Basic Outline

- Apply Waldhausen's construction, which generalizes Quillen's from exact categories to categories with cofibrations and weak equivalences
- Work with Complicial biWaldhausen categories and functors: Subcategories of categories of complexes on abelian categories (with restrictions); functors induced by additive functors on the underlying abelian categories.

This gives a  $K$ -theory of derived categories (of sorts):

**Theorem.** If a complicial functor between complicial biWaldhausen categories induces an equivalence of derived categories, it induces an equivalence of  $K$ -theory.



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*Remark: Actual functor*

*morally  $K$ -theory depends only on derived cat*



# Thomason's $K$ -Theory of Schemes

Consequence: Any subcategory (with restrictions) of perfect complexes of  $\mathcal{O}_X$ -modules whose derived category is the bounded derived category produces the same  $K$ -theory.

flat  $\hookrightarrow$

$K(X) = K$ -theory of the category of perfect complexes on  $X$ .

Variant: For  $Y$  a closed subset of  $X$

$K(X \text{ on } Y) = K$ -theory of the category of perfect complexes on  $X$  that are supported on  $Y$  (acyclic off  $Y$ ).



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$$U = X - Y$$

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# Thomason Trobaugh Localization Theorem

**Localization Theorem.** Let  $\underline{U} \subset X$  be open,  $\underline{Y} = X - U$ . There is a long exact sequence

$$\begin{aligned} \cdots \rightarrow \underline{K_n(X \text{ on } Y)} \rightarrow \underline{K_n(X)} \rightarrow \underline{K_n(U)} \rightarrow \cdots \\ \cdots \rightarrow K_0(X \text{ on } Y) \rightarrow K_0(X) \rightarrow K_0(U) \end{aligned}$$

**Mayer-Vietoris Theorem.** Let  $U, V \subset X$  with  $X = U \cup V$ . There is a long exact sequence

$$\begin{aligned} \cdots \rightarrow K_n(U \cap V) \rightarrow K_n(U) \oplus K_n(V) \rightarrow K_n(X) \rightarrow \cdots \\ \cdots \rightarrow K_0(U \cap V) \rightarrow K_0(U) \oplus K_0(V) \rightarrow K_0(X) \end{aligned}$$



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**Mayer-Vietoris Theorem.** Let  $\underline{U}, \underline{V} \subset X$  with  $X = \underline{U} \cup \underline{V}$ . There is a long exact sequence

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# Proof

Waldhausen: Fibration sequences for “weaker” weak equivalences.

$\mathcal{C}$  category with a weak equivalences  $w$ , and another collection of weak equivalences  $v$  with  $v \subset w$ .

$\mathcal{C}^w$  = the subcategory of  $\mathcal{C}$  of objects  $w$ -equivalent to the trivial object.

**Theorem.** (Waldhausen localization sequence)

The following square is homotopy cartesian:

$$\begin{array}{ccc} K(\mathcal{C}^w, v) & \longrightarrow & K(\mathcal{C}^w, w) \\ \downarrow & & \downarrow \\ K(\mathcal{C}, v) & \longrightarrow & K(\mathcal{C}, w) \end{array}$$

$K(\mathcal{C}^w, w)$  is trivial.



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Take  $\mathcal{C}$  = Perfect complexes on  $X$

$v$  = Quasi-isomorphisms

$w$  = Maps that are quasi-isomorphisms on  $U$

$v \subset w$

$\mathcal{C}^w$  = Perfect complexes supported on  $Y$ .

Thomason and Trobaugh prove that the derived category  $\mathcal{D}(\mathcal{C}, w)$  is cofinal in the bounded derived category of  $U$ .



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# Neeman's Abstract Reformulation

Let  $\mathcal{S}$  be a triangulated category generated by its compact objects  $\mathcal{S}^c$  and is closed under small coproducts and assume  $\mathcal{S}^c$  is small.

Let  $\mathcal{R}$  be localizing subcategory gen. by some set of compact objects. Let  $\mathcal{T}$  be the triangulated quotient  $\mathcal{S}/\mathcal{R}$ .

This means  $\mathcal{T}$  is the localization of  $\mathcal{S}$  with respect to the maps whose cofibers are in  $\mathcal{R}$ .

## Theorem

- The compact objects  $\mathcal{S}^c$  of  $\mathcal{S}$  map to compact objects of  $\mathcal{T}$ .
- The induced functor  $\mathcal{S}^c/\mathcal{R}^c \rightarrow \mathcal{T}^c$  is fully faithful and  $\mathcal{T}^c$  is the thick subcategory generated by its image.

T-T localization set-up can be reformulated in terms of quotients of triangulated categories.



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- The compact objects  $\mathcal{S}^c$  of  $\mathcal{S}$  map to compact objects of  $\mathcal{T}$ .
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T-T localization set-up can be reformulated in terms of quotients of triangulated categories.



# Neeman's Abstract Reformulation

Let  $\mathcal{S}$  be a triangulated category generated by its compact objects  $\mathcal{S}^c$  and is closed under small coproducts and assume  $\mathcal{S}^c$  is small.

Let  $\mathcal{R}$  be localizing subcategory gen. by some set of compact objects. Let  $\mathcal{T}$  be the triangulated quotient  $\mathcal{S}/\mathcal{R}$ .

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# Remark on Cofinality

Cofinality implies iso on  $K_n$  for  $n > 0$  but only an injection on  $K_0$ . This is why the localization sequence is generally not surjective on  $K_0$ :

$$\cdots \rightarrow K_n(X \text{ on } Y) \rightarrow K_n(X) \rightarrow K_n(U) \rightarrow \cdots$$

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The sequence actually continues with the Bass negative  $K$ -groups:

$$\cdots \rightarrow K_0(X \text{ on } Y) \rightarrow K_0(X) \rightarrow K_0(U) \rightarrow K_{-1}(X \text{ on } Y) \rightarrow K_{-1}(X) \rightarrow \cdots$$

These groups are defined inductively by

$$\begin{aligned} K_{-n-1}X &= \text{Coker} \left( K_{-n}(X \times \text{Spec } \mathbb{Z}[x]) \oplus K_{-n}(X \times \text{Spec } \mathbb{Z}[x^{-1}]) \right. \\ &\quad \left. \rightarrow K_{-n}(X \times \text{Spec } \mathbb{Z}[x, x^{-1}]) \right) \end{aligned}$$



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# Thomason Trobaugh Bass $K$ -Theory Spectrum

Thomason and Trobaugh construct a non-connective  $K$ -theory spectrum  $K^B X$  essentially by doing Bass' algebraic construction on the spectrum level.

In terms of  $K^B$ , the localization theorem asserts a cofiber sequence of spectra

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# First Try: Hochschild-Mitchell construction

For an additive category  $\mathcal{C}$

Ring <sup>add</sup> Cat w/ one object

$$\underline{N_q^{cy} \mathcal{C}} = \bigoplus_{x_0, \dots, x_q \in \mathcal{C}} \mathcal{C}(\underline{x_q}, x_{q-1}) \otimes \cdots \otimes \mathcal{C}(x_1, \underline{x_0}) \otimes \mathcal{C}(\underline{x_0}, x_q)$$

Constructs HH( $\mathcal{C}$ ).

Using (bar construction) Eilenberg-Mac Lane spectra, we get a *spectral category*  $\mathcal{C}^S$ .

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Invariance problems of Hochschild-Mitchell construction: Treats an exact category  $\mathcal{C}$  as an additive category. (Only sees split exact sequences.)

Solution:

Mix Waldhausen's  $S_\bullet$ -construction in with the Hochschild-Mitchell construction .

Nice consequence:

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# Mayer-Vietoris

The Dundas-McCarthy construction cannot satisfy Mayer-Vietoris.

**Example.** Look at the projective (elliptic) curve

$$x_0 x_2^2 = x_1^3 - 3x_0^2 x_1$$

This has an open cover by the affines

$$U = \{x_0 \neq 0\} = \operatorname{Spec} \mathbb{Z}[x, y]/(y^2 = x^3 - 3x)$$

$$V = \{x_2 \neq 0\} = \operatorname{Spec} \mathbb{Z}[u, v]/(u = v^3 - 3u^2 v)$$

for  $x = x_1/x_0$ ,  $y = x_2/x_0$ ,  $u = x_0/x_2$ ,  $v = x_1/x_2$

Then  $U \cap V = \operatorname{Spec} \mathbb{Z}[x, y, y^{-1}]/(y^2 = x^3 - 3x)$ , but

$$THH_0(U) \oplus THH_0(V) = \mathbb{Z}[x, y] \oplus \mathbb{Z}[u, v] \rightarrow \mathbb{Z}[x, y, y^{-1}] = THH_0(U \cap V)$$

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comm  
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↓  
 $THH_0(R)$   
=  $R$   
Bottom level  
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# Bass Construction

This is not related to the Bass construction.

No negative Bass *THH* groups for rings:

$$\mathrm{Coker} \left( THH_0(R[x]) \oplus THH_0(R[x^{-1}]) \rightarrow THH_0(R[x, x^{-1}]) \right)$$

is always surjective. It is

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# Third Try: Geisser-Hesselholt

*THH* of rings localizes:  $\pi_* \underline{THH(R[S^{-1}])} = \pi_* \underline{THH(R) \otimes R[S^{-1}]}$ .

In other words, for a ring  $\pi_* THH(R)$  is a quasi-coherent sheaf

Define  $THH(X)$  as the Čech spectrum of an affine open cover, or as the hyper-cohomology spectrum.

Tautologically satisfies Mayer-Vietoris.

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# Construction for HH: Keller

Force localization to hold:

Setup:  $\mathcal{S}$  a DG-category,  $\mathcal{R}$  a subcategory.

E.g.,  $\mathcal{S}$  a category of complexes,  $\mathcal{R}$  the acyclics.

Define:  $HH(\mathcal{S}, \mathcal{R})$  as the cofiber of Hochschild-Mitchell constructions

$$HH(\mathcal{S}, \mathcal{R}) = \text{Cofiber}(\underline{HH(\mathcal{R}) \rightarrow HH(\mathcal{S})})$$

(Definition actually due to Kassel.)

Keller then proves (roughly) that a map  $(\underline{\mathcal{S}, \mathcal{R}}) \rightarrow (\underline{\mathcal{S}', \mathcal{R}'})$  that induces an equivalence on triangulated quotients induces an equivalence on  $HH$ .



# Our Approach: Concepts

Work with spectral categories and use the Hochschild-Mitchell complex (actually, the analogue due to Bokstedt).

A spectral category has an associated *homotopy category* defined by  $\pi_0$  of the mapping spectra, or graded homotopy category defined by  $\pi_*$  of the mapping spectra.

A *pretriangulated* spectral category is (roughly) a spectral category whose homotopy category is triangulated.

Work of Shipley shows that we can enhance a DG-category into a spectral category.



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# DK-Invariance

Basic kind of equivalence of spectral categories: Dwyer-Kan equivalence.

A DK-equivalence of spectral categories is a spectral functor that is a weak equivalence on mapping spectra and an equivalence on the homotopy category.

## Theorem

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# Morita Invariance / Cofinality

Up to DK-equivalence any (small) spectral category embeds in a pretriangulated spectral category.

We use this to simplify statements

## Theorem

Let  $\mathcal{C} \subset \mathcal{C}'$  be full subcategories of the pretriangulated spectral category  $\mathcal{D}$  with the objects of  $\mathcal{C}'$  contained in the thick subcategory generated by the objects of  $\mathcal{C}$  (in the triangulated category  $\pi_0 \mathcal{D}$ ). Then

$$\underline{THH(\mathcal{C})} \rightarrow THH(\mathcal{C}')$$

is a weak equivalence.



# Localization Theorem

Let  $\mathcal{A} \subset \mathcal{B}$  and  $\mathcal{A}' \subset \mathcal{B}'$  be inclusions of full spectral categories and assume that they are all pretriangulated. Let  $f: \mathcal{B} \rightarrow \mathcal{B}'$  be a spectral functor that restricts to  $\mathcal{A} \rightarrow \mathcal{A}'$ .

## Theorem (Abstract localization theorem)

*If the induced map of triangulated quotients is an equivalence then the map of cofibers*

$$\text{Cofiber}(THH(\mathcal{A}) \rightarrow THH(\mathcal{B})) \longrightarrow \text{Cofiber}(THH(\mathcal{A}') \rightarrow THH(\mathcal{B}'))$$

*is an equivalence.*



# Consequences

We can use a spectral model of the derived category of perfect complexes on  $X$  to define  $THH(X)$

We can use the full subcategory of  $U$ -acyclics to define  $THH(X \text{ on } Y)$

Theorem (Localization for open subschemes)

*There is a cofibration sequence of spectra*

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# Concluding remarks

In the case of a quasi-projective scheme (or more generally a scheme with an ample family of line bundles), the bounded derived category is precisely the thick subcategory generated by the vector bundles.

The exact category of vector bundles, made into a spectral category, is the connective cover of the (full subcategory) spectral category we use above. Algebraic-geometric remarks aside, the difference between the last approach above and the first two approaches is using the full non-connective mapping spectra.

It turns out that for a connective ring spectrum  $R$ , forming the spectral category using the correct non-connective mapping spectra gives the same  $THH$  as the connective-cover spectral category. But this is another paper and another talk. . .

not in paper

